



miu
COPY OF PAPERS
ORIGINALLY FILED

Case Docket No. DANHSU.001C1
Date: January 28, 2002

RECTOR
Box 184
PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s) : Hsu
App. No. : 09/975,143
Filed : October 10, 2001
For : GALECTIN EXPRESION IS
INDUCED IN CIRRHOTIC
LIVER AND
HEPATOCELLULAR
CARCINOMA

I hereby certify that this correspondence and all
marked attachments are being deposited with the
United States Postal Service as first class mail in an
envelope addressed to: Assistant Commissioner for
Patents, Washington, D.C. 20231, on

January 28, 2002

(Date)

Eric S. Furrman, Ph.D., Reg. No. 45,664

Group Art Unit : 1645

TRANSMITTAL LETTER

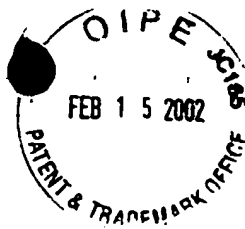
**ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231**

ATTENTION: BOX MISSING PARTS

Dear Sir:

In response to the Notice to File Missing Parts of Application Under 37 CFR 1.53(f),
which was mailed by the Office on November 27, 2001, enclosed are:

- (X) A Declaration and Power of Attorney.
- (X) The present application qualifies for small entity status under 37 C.F.R. § 1.27.
- (X) A Notice to File Missing Parts.
- (X) Paper copy of Sequence Listing in 14 pages.
- (X) Sequence Listing in Computer Readable Format on one disk.
- (X) Return prepaid postcard.
- (X) Fees as calculated below:




PATENT

COPY OF PAPERS
ORIGINALLY FILED

Case Docket No. DANHSU.001C1
Date: January 28, 2002

FEE FOR EXTENSION OF TIME (LARGE ENTITY)	months	\$ 0
SURCHARGE 37 CFR 1.16(e)		\$ + 130
TOTAL OF ABOVE CALCULATIONS		\$ 130
REDUCTION BY 1/2 FOR FILING BY SMALL ENTITY.		
Note 37 CFR 1.9, 1.27, 1.28. If applicable, verified statement must be attached. \$ - 65		
TOTAL FEES SUBMITTED HEREWITH		\$ 65

- (X) A check in the amount of \$65 to cover the above fees is enclosed.
- (X) The Commissioner is hereby authorized to charge any additional fees which may be required, now or in the future, or credit any overpayment, to Account No. 11-1410.


Eric S. Farman, Ph.D.
Registration No. 45,664
Attorney of Record



COPY OF PAPERS
ORIGINALLY FILED

PATENT

Case Docket No. DANHSU.001C1
Date: January 28, 2002

#4

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants : Hsu, et al.
Appl. No. : 09/975,143
Filed : October 10, 2001
For : GALECTIN EXPRESION
IS INDUCED IN
CIRRHOTIC LIVER AND
HEPATOCELLULAR
CARCINOMA
Group Art Unit : 1645
Examiner : Unknown

I hereby certify that this correspondence and
all marked attachments are being deposited
with the United States Postal Service as first
class mail in an envelope addressed to:
Assistant Commissioner for Patents,
Washington, D.C. 20231, on

January 28, 2002

(Date)

Eric S. Furman, Ph.D., Reg. No. 45,664

SEQUENCE SUBMISSION STATEMENT

ASSISTANT COMMISSIONER FOR PATENTS
WASHINGTON, D.C. 20231

Dear Sir:

A copy of the Sequence Listing in computer readable form as required by 37 C.F.R.
§1.821(e) is submitted herewith.

As required by 37 C.F.R. §1821(f), I hereby declare that the information recorded on the
enclosed disk is identical to the printed Sequence Listing in the application filed herewith.
Accordingly, no new matter has been introduced.

Respectfully submitted,
KNOBBE, MARTENS, OLSON & BEAR

Date: 1/28/02

Eric S. Furman, Ph.D.
Registration No. 45,664
Attorney of Record
620 Newport Center Drive
Sixteenth Floor
Newport Beach, CA 92660
(619) 235-8550

BEST AVAILABLE COPY

FROM: **LAW OFFICES OF
KNOBBE, MARTENS, OLSON & BEAR, LLP**
INTELLECTUAL PROPERTY LAW

550 WEST C STREET, SUITE 1200
SAN DIEGO, CA 92101

TO: **ATTN: BOX MISSING PARTS**
**ASSISTANT COMMISSIONER
FOR PATENTS**
Washington, D.C. 20231-9998

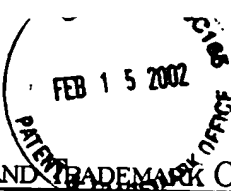
63 E

RECEIVED
FEB 13 2002
USPTO MAIL CENTER

DAN HSHU 00101



UNITED STATES PATENT AND TRADEMARK OFFICE



COPY OF PAPERS
ORIGINALLY FILED

#5

COMMISSIONER FOR PATENTS
UNITED STATES PATENT AND TRADEMARK OFFICE
WASHINGTON, D.C. 20231
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/975,143	10/10/2001	Daniel K. Hsu	DANHSU.001C1

20995
KNOBBE MARTENS OLSON & BEAR LLP
620 NEWPORT CENTER DRIVE
SIXTEENTH FLOOR
NEWPORT BEACH, CA 92660

CONFIRMATION NO. 8888

FORMALITIES LETTER



OC000000007122336

Date Mailed: 11/27/2001

NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

02/22/2002 AOSMAN1 00000062 09975143

FILED UNDER 37 CFR 1.53(b)

01 FC:205

65.00 DP

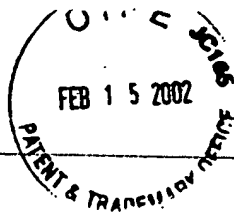
Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The oath or declaration is missing.
A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(l) of \$65 for a small entity in compliance with 37 CFR 1.27, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 65.**
- The paper or compact disc copy of the "Sequence Listing" is not the same as the computer readable form of the "Sequence Listing" as required by 37 CFR 1.821(e). Applicant must provide a substitute paper or compact disc copy of the "Sequence Listing", as well as an amendment directing its entry into the application OR a substitute computer readable form (CRF) copy of the "Sequence Listing". These two items must be the same. Applicant must also provide a statement that the content of the sequence listing information recorded in computer readable form is identical to the written (on paper or compact disc) sequence listing and, where applicable, includes no new matter, as required by 37 CFR 1.821(e), 1.821(f), 1.821(g), 1.825(b), or 1.825(d). If the effective filing date is on or after September 8, 2000, see the final rulemaking notice published in the Federal Register at 65 FR 54604 (September 8, 2000) and 1238 OG 145 (September 19, 2000).

For questions regarding compliance to these requirements, please contact:

- For Rules Interpretation, call (703) 308-4216
- To Purchase PatentIn Software, call (703) 306-2600
- For PatentIn Software Program Help, call (703) 306-4119 or e-mail at patin21help@uspto.gov or patin3help@uspto.gov



COPY OF PAPERS
ORIGINALLY FILED

*A copy of this notice **MUST** be returned with the reply.*

A handwritten signature, possibly "R", written in ink.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE